

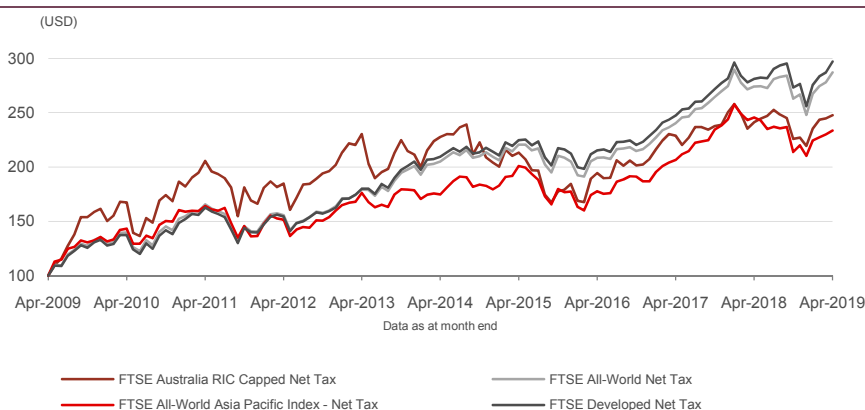
FTSE Australia RIC Capped Index

Data as at: 30 April 2019

The FTSE Global RIC Capped Indexes are market-capitalization weighted indexes designed to limit concentration in any single security to help users meet the Regulated Investment Company (RIC) concentration requirements for US registered funds. The FTSE Global RIC Capped Indexes are a subset of the FTSE Global Equity Index Series (GEIS), which covers 99% of the world's investable market capitalization.

The FTSE Australia RIC Capped Index represents the performance of Australian large and mid capitalization stocks. Securities are weighted based on their free float-adjusted market capitalization and reviewed semi-annually. To limit over concentration in any single security, constituents are capped quarterly so that no more than 25% of the index's weight may be allocated to a single constituent and the sum of the weights of all constituents representing more than 5% of the index should not exceed 50% of the total index weight.

10-Year Performance - Total Return



Performance and Volatility - Total Return

Index (USD)	Return %						Return pa %*		Volatility %**		
	3M	6M	YTD	12M	3YR	5YR	3YR	5YR	1YR	3YR	5YR
FTSE Australia RIC Capped	5.2	9.8	13.0	2.9	28.1	9.7	8.6	1.9	13.8	14.2	15.5
FTSE Australia RIC Capped Net Tax	5.2	9.7	12.9	2.7	27.3	8.8	8.4	1.7	13.8	14.2	15.5
FTSE All-World	7.6	9.5	16.0	5.3	40.0	43.9	11.9	7.6	11.2	10.7	11.1
FTSE All-World Net Tax	7.4	9.2	15.8	4.7	37.8	40.1	11.3	7.0	11.2	10.7	11.1
FTSE Asia Pacific	4.2	9.3	11.1	-4.6	32.7	35.5	9.9	6.3	12.4	12.2	12.5
FTSE All-World Asia Pacific Index - Net Tax	4.1	9.2	11.0	-4.9	31.5	33.6	9.6	6.0	12.4	12.2	12.5
FTSE Developed	8.0	9.0	16.3	6.2	40.3	45.8	12.0	7.8	11.4	10.6	11.1
FTSE Developed Net Tax	7.8	8.7	16.1	5.6	38.0	41.8	11.3	7.2	11.4	10.6	11.1

* Compound annual returns measured over 3 and 5 years respectively

** Volatility – 1YR based on 12 months daily data. 3YR based on weekly data (Wednesday to Wednesday). 5YR based on monthly data

FEATURES

Coverage

Derived from the FTSE Global Equity Index Series (GEIS), which covers 98% of the world's investable market capitalisation.

Objective

The index is designed for use in the creation of index tracking funds, derivatives and as a performance benchmark.

Investability

Stocks are selected and weighted to ensure that the index is investable.

Liquidity

Stocks are screened to ensure that the index is tradable.

Capping

To limit over concentration in any single security, constituents are capped quarterly so that no more than 25% of the indexes weight may be allocated to a single constituent and the sum of the weights of all constituents representing more than 5% of the index should not exceed 50% of the total index weight.

Transparency

The index uses a transparent, rules-based construction process. Index Methodologies are freely available on the FTSE Russell website.

Availability

The index is calculated based on price and total return methodologies, both real time and end of day. Net of tax indexes are also available.

Industry Classification Benchmark (ICB)

Index constituents are categorized in accordance with the Industry Classification Benchmark (ICB), the global standard for industry sector analysis.

Year-on-Year Performance - Total Return

Index % (USD)	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
FTSE Australia RIC Capped	76.5	15.5	-10.8	21.6	4.5	-3.3	-9.5	12.7	20.9	-12.2
FTSE Australia RIC Capped Net Tax	76.5	15.5	-10.8	21.6	4.5	-3.4	-9.7	12.4	20.7	-12.4
FTSE All-World	36.2	13.2	-7.3	17.1	23.3	4.8	-1.7	8.6	24.6	-9.1
FTSE All-World Net Tax	35.4	12.7	-7.7	16.5	22.7	4.2	-2.2	8.0	24.0	-9.6
FTSE Asia Pacific	40.7	18.4	-14.8	17.5	11.8	0.7	-0.8	5.6	30.9	-13.5
FTSE All-World Asia Pacific Index - Net Tax	40.5	18.2	-14.9	17.3	11.6	0.4	-1.1	5.3	30.5	-13.8
FTSE Developed	31.4	12.3	-5.5	17.0	26.8	5.1	-0.3	8.2	23.9	-8.6
FTSE Developed Net Tax	30.6	11.7	-6.0	16.4	26.1	4.5	-0.8	7.5	23.2	-9.1

Return/Risk Ratio and Drawdown - Total Return

Index (USD)	Return/Risk Ratio				Drawdown (%)			
	1YR	3YR	5YR	10YR	1YR	3YR	5YR	10YR
FTSE Australia RIC Capped	0.2	0.7	0.1	0.5	-15.9	-17.8	-34.3	-34.3
FTSE Australia RIC Capped Net Tax	0.2	0.7	0.1	0.5	-15.9	-17.9	-34.4	-34.4
FTSE All-World	0.5	1.2	0.7	0.9	-17.0	-19.0	-19.0	-23.0
FTSE All-World Net Tax	0.4	1.1	0.6	0.8	-17.0	-19.4	-19.4	-23.2
FTSE Asia Pacific	-0.4	0.9	0.5	0.6	-18.2	-22.2	-26.2	-26.2
FTSE All-World Asia Pacific Index - Net Tax	-0.4	0.9	0.5	0.6	-18.4	-22.4	-26.3	-26.3
FTSE Developed	0.5	1.2	0.7	0.9	-17.9	-18.5	-18.5	-22.3
FTSE Developed Net Tax	0.5	1.1	0.7	0.9	-18.0	-19.0	-19.0	-22.5

Return/Risk Ratio – based on compound annual returns and volatility in Performance and Volatility table
 Drawdown - based on daily data

Top 10 Constituents - FTSE Australia RIC Capped

Constituent	ICB Sector	Net MCap (USDm)	Wgt %
Commonwealth Bank of Australia	Banks	92,296	9.04
BHP Group	Mining	77,523	7.59
Westpac Banking Corp	Banks	66,253	6.49
CSL	Pharmaceuticals & Biotechnology	63,090	6.18
Australia & New Zealand Banking Group	Banks	54,680	5.36
National Australia Bank	Banks	49,517	4.85
Woolworths Group	Food & Drug Retailers	29,430	2.88
Macquarie Group	Financial Services	29,181	2.86
Wesfarmers	General Retailers	28,675	2.81
Transurban Group	Industrial Transportation	25,250	2.47
Totals		515,895	50.54

ICB Industry Breakdown - FTSE Australia RIC Capped

ICB Code	ICB Industry	No. of Cons	Net MCap (USDm)	Wgt %
0001	Oil & Gas	6	55,885	5.47
1000	Basic Materials	17	169,998	16.65
2000	Industrials	18	106,971	10.48
3000	Consumer Goods	2	11,866	1.16
4000	Health Care	6	90,113	8.83
5000	Consumer Services	14	103,706	10.16
6000	Telecommunications	3	13,261	1.30
7000	Utilities	3	21,578	2.11
8000	Financials	28	442,699	43.37
9000	Technology	2	4,764	0.47
Totals		99	1,020,840	100.00

INFORMATION

Index Universe

FTSE Global Equity Index Series

Index Launch

29 September 2017

Base Date

16 June 2000

Base Value

1000

Investability Screen

Actual free float applied and liquidity screened

Index Calculation

Real-time and end-of-day index available

End-of-Day Distribution

Via FTP and email

Currency

USD, GBP, EUR, JPY, AUD, CNY, HKD, CAD

Review Dates

Semi-annually in March and September

History

Available from June 2000

Index Characteristics

Attributes	FTSE Australia RIC Capped
Number of constituents	99
Net MCap (USDm)	1,020,840
Dividend Yield %	4.38
Constituent Sizes (Net MCap USDm)	
Average	10,312
Largest	92,296
Smallest	437
Median	4,938
Weight of Largest Constituent (%)	9.04
Top 10 Holdings (% Index MCap)	50.54

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Data definitions available from
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