



Investor.gov

U.S. SECURITIES AND
EXCHANGE COMMISSION

How to Access Information or Help

If you have questions and inquiries relating to the SEC, to federal securities laws or investments, your inquiry should be submitted via this [Questions and Feedback form](#). Click here for [Fast Answers](#).

Information about contacting the SEC can be found [here](#).

For any complaint relating to your investment or investment professional, you can submit your complaint with our [Complaint form](#). See our Investor Bulletin to learn more about [investor complaints](#).

How to Access or Request Filings and Other Records

Company Filings

The SEC database for company filings, which includes quarterly and annual reports, registration statements for IPOs and other offerings, insider trading reports, and proxy materials, can be obtained in electronic form by using the SEC's [EDGAR](#) system. You can learn more about using EDGAR [here](#).

Other Records

Public statements, proposed and final rules, enforcement actions, educational materials, special reports and studies, speeches, testimony and other SEC documents can also be obtained in electronic form by using the online [SEC search feature](#) or the attached links:

Litigation

- [Litigation Releases - Federal Court Actions](#)
- [Administrative Proceedings - Notices & Settlements](#)
- [ALJ Initial Decisions](#)
- [ALJ Orders](#)

- [Reports of Investigations](#)
- [Commission Opinions & Adjudicatory Orders](#)
- [Trading Suspensions](#)
- [Investors Claims Funds](#)
- [Briefs](#)

Rulemaking

- [Proposed Rules](#)
- [Final Rules](#)
- [Concept Releases](#)
- [Interpretive Releases](#)
- [Policy Statements](#)
- [PCAOB Rulemaking](#)
- [Self-Regulatory Organization Rulemaking](#)
- [Public Utility Holding Company Act Filings and Notices](#)
- [Exchange Act Exemptive Applications](#)
- [Exchange Act Exemptive Notices and Orders](#)
- [Investment Advisers Act Applications, Notices and Orders](#)
- [Investment Company Act Notices and Orders](#)
- [Investment Company Act Deregistration Notices and Orders](#)
- [Other Commission Orders, Notices and Information](#)
- [Petitions for Rulemaking](#)
- [Exchange Delistings](#)

News & Public Statements

- [Annual Reports](#)
- [News Digest](#)
- [Press Releases](#)
- [Speeches and Public Statements](#)
- [Corporation Finance Staff Interpretations](#)
- [Testimony](#)
- [Reports and Publications](#)

Certified Records

To order electronic certified copies of EDGAR filings in PDF format, click [here](#).

To order EDGAR (paper filings) and Non-EDGAR certified records or documents, click [here](#).

If you have questions or inquiries regarding the status of your request, please email certified@sec.gov

, or call (202) 551-7230.

Turnaround Time

Receipt of your request will be acknowledged within one (1) business day. Requests are processed in the order in which they are received.

How to Access or Request Records Not Accessible via SEC Website

For public records not posted on the Internet (usually dated prior to 1996) including SEC records and documents, historic SEC filings, special reports and studies, speeches, and testimony you may use any of the following three methods:

Use our [Request Records form](#)

Send a fax to: (202) 772-9337

Submit a written request to:

U.S. Securities and Exchange Commission
Office of FOIA/PA Operations
100 F Street N.E.
Washington, DC 20549-2736

Request Instructions

To request records not located on the SEC website, a written request is submitted which should include a mailing address and daytime telephone number in order to contact you should questions arise. Each request for information or copies should clearly describe the information sought with respect to complete name, date, subject matter, type of document, file number, or any other specific information which will assist us in identifying the information you are requesting. This enables us to ensure that the correct documents are located and provided as quickly as possible.

Only one subject should be included per request, i.e., multiple filings by one company may be requested in one letter; however, filings by multiple companies should be broken into separate requests.

Your written request should indicate a willingness to pay the search and reproduction cost for paper or electronic document production or provide a dollar amount you are willing to pay. See Schedule of Fees for Records Services [here](#).

Should questions arise about making a request, you may call 202-551-7900.

Each request will receive an acknowledgement letter. A response should be provided within 20 working days. If additional time is needed, you will be contacted. Requests for voluminous records may take several months to fulfill and requestors may prefer to use a securities research service.

Senior Agency Official (SAO) – Records Management

Barry Walters
Director
Office of Support Operations
WaltersB@SEC.gov

SEC Records Officer

Curt Francisco
FranciscoC@SEC.gov